PRINCIPAL INVESTIGATOR'S GUIDE TO NITC SPONSORED ACTIVITIES

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Project Checklist & Due Dates

Keep this checklist in a convenient place. Past project performance is a critical factor in future funding decisions!
Project Name & PPMS Number:
End Date:

Project Requirement	Due Date		
☐ Bi-annual progress reports	NITC National due 3/30 and 9/30		
	NITC 16 due 04/30 and 11/30		
☐ No-cost extension	Due 30 days <u>before</u> end of grant		
☐ Draft final report	Due 30 days <u>before</u> end of grant (NITC 16 funded research projects only)		
☐ Data embargo request	Due with draft final report (NITC 16 funded research projects only)		
☐ Final progress report	Submit with draft final report 30 days before end of grant		
☐ Project data	Due with final report (NITC 16 funded research projects only)		
☐ Match documentation	Due 60 days after end of grant		

1. Introduction

Congratulations on receiving a NITC award! The National Institute for Transportation and Communities (NITC) is one of five national transportation centers funded by the United States Department of Transportation's (U.S.DOT) Office of the Assistant Secretary for Research and Technology (OST-R). NITC is currently supported by two U.S.DOT grants we designated as **NITC National** (funded by the **FAST Act**) and **NITC 16** (funded by the **MAP-21 Act**). The NITC program is managed by the Transportation Research and Education Center (TREC) at Portland State University (PSU).

This guide is intended to help NITC-sponsored Principal Investigators (PIs) understand expectations associated with their award. These expectations are, for the most part, similar regardless by which of the two NITC funding sources your project is supported (**NITC National** or **NITC 16**). There are a few differences, however, such as due dates of progress reports and a few additional reporting obligations required by U.S.DOT. This is why it is important that you know which grant funds your project. If your project was funded in 2016 or earlier, it was funded by the **NITC National** grant. Most projects funded from 2017 forward are supported by the **NITC 16** grant. If in doubt, please review your award letter or contact the research program administrator, Eva-Maria Muecke, at emuecke@pdx.edu for clarification.

NITC is committed to using federal funds responsibly. This is why awards are made only after a rigorous peer-reviewed proposal selection process to ensure that the best projects are funded that also fit well with NITC's theme and U.S.DOT priorities. PIs are obligated to comply with all grant requirements. PIs should take the award obligations seriously since successful adherence to and performance on budget, schedule and project requirements will be used as review criteria for future proposal submissions. The expectations are also intended to ensure integrity of projects throughout their life cycle.

Projects must show significant progress during the course of the award period, demonstrate relevant outcomes, and further NITC's theme. Activities should result in an increase in collaboration and greater participation of faculty and students representing a multitude of disciplines. Funded research, education and technology transfer projects will culminate in the development of a final report or product that will synthesize the results of the effort. Results should also be disseminated via journal publications and presentations at recognized conferences.

2. Key Project Requirements

The purpose of the project requirements is to ensure the success of your project and to clarify federal requirements, while also allowing NITC to gather adequate information to communicate progress efficiently and effectively to our federal sponsor. The key requirements are as follows:

2.1 Progress reports

NITC takes into account the progress made on PIs current project(s) before awarding subsequent grants. Progress reports are due bi-annually and again at the end of the project. These reports provide staff with a mechanism to ensure that the funded activity is progressing as planned. In addition, they support NITC's reporting to the federal sponsor.

Report Elements

These reports consist of the following components:

• Updated project progress to-date

- Specific accomplishments, especially milestones, outstanding students, noteworthy collaboration or exciting results and activities undertaken that support the national strategy for surface transportation research and/or respond to DOT priorities
- Unexpected delays or issues
- Performance metrics:
 - Research
 - Did research results confirm or change practice? If yes, list practitioners who use the results and provide brief explanation
 - Peer-reviewed articles resulting from project
 - Articles in trade/professional publications
 - o Students involved in project
 - Undergraduate students
 - Graduate students
 - o Technology Transfer
 - Events (seminars, lectern sessions at conferences or symposia, workshops etc.)
 held for transportation professionals
 - Number of transportation professionals participating in specific event
 - Purpose of event (technology transfer, attract workforce, or continuing education)

Due Dates

- Progress reports for projects that are funded through NITC National are due April 30th and Sept 30th.
- Progress reports for projects that are funded through NITC 16 are currently due May 30th and November 30th
- Regardless of funding sources, PIs are required to submit a final progress report **at the time** they submit the finalized version of the final report.

PIs are asked to submit progress reports using NITC's online <u>project management system</u> (PPMS), accessible via the NITC website.

Use of Progress Reports for Federal Reporting

Data requested in the progress reports and in the final progress report are required so that NITC can collect performance measures and gauge how well programs are working towards achieving the goals of the program and U.S.DOT priorities. These performance measures are collected annually for both NITC National and NITC 16 grants and summarized for our federal sponsor in a Performance Indicator (PI) report.

Data and narratives from progress reports are also incorporate into semi-annually Program Progress Performance Reports (PPPRs) for our funder. Similar to the PI reports, these reports offers an opportunity for NITC to demonstrate its value and that of the projects it funds. In addition, PPPRs provide a platform to highlight special accomplishments and impacts of activities and projects. We use the narratives you include in your progress reports as a basis for these reports. Any narratives, such as awards, accomplishments, collaborations, anecdotes and other noteworthy events or comments, are worth gold to us as we discuss how NITC moves transportation research forward.

As a result, we ask you to be diligent when completing your progress reports and submit reports on time to help us demonstrate the importance of our programs and ensure future support for projects like yours. *Thank you!*

NITC's PI and PPPR reports are published on <u>NITC's website</u> - see Annual and Progress Reports.

2.3 Project Oversight - Technical Advisory Committees

We recognize that many of the selected projects have technical advisory committees (TAC) established by external match partners. We encourage PIs to identify and create a TAC in the absence of one. TACs are typically good practice, providing feedback and direction to guide a research project. The TAC may also be a source for peer review of final reports.

Please contact NITC staff if assistance is needed in identifying appropriate committee members.

2.4 No-Cost Extension Request

NITC staff understand that unexpected project delays can occur, especially when awards are delayed. However, no-cost extension requests for projects are not to exceed **6 months** beyond the original end date of an award (see original award letter date). Extension request forms (see Appendix A) must be filled out completely, signed and submitted to the PI's University Research Administration office **no later than 1 month** prior to the end date of an award. NITC will only accept extension requests that have been approved by the PI's university research office. PIs at Portland State University can send the no-cost extension directly to Cheyanne Kalainoff (trecdra@pdx.edu) in the NITC office.

Because NITC is performance-driven and must report annually on performance measures that relate to program activities, principal investigators must show reportable progress throughout the life of a project, and must justify the need for an extension. Extreme circumstances for extensions will be considered on a case-by-case basis. You will be notified by NITC staff within two weeks of submittal whether your extension has been approved. PIs should recognize that schedule performance will factor into future funding decisions.

2.5 Communications

NITC seeks to disseminate the results of its projects to transportation professionals and the broader community. To meet this goal, we expect PIs to consider opportunities to communicate interesting, surprising, timely and newsworthy findings arising from projects.

Each lead PI is required to meet (in person or by phone) with the NITC communications staff at least twice during the course of the project: the first meeting should occur before the work begins. The purpose of this meeting is to forecast the project's audience, the communications objectives, and the anticipated project products (including talks, articles, workshops, etc.). The second meeting should be held as soon as possible after research is completed, rather than after the Final Report is submitted. The purpose of this meeting is to review the communications plan developed at the first meeting, review project communications that have already occurred, and discuss upcoming opportunities. In order to expedite dissemination of research findings, it is valuable to hold this meeting before the final report is developed.

NITC's primary method of communication of projects is the NITC website, and every PI and project has information on the site. As a complement to final reports, NITC prepares a 2-page "project brief" about each completed project and a news story and uses media to promote the work. These and other communication strategies depend on input from the PI to remain valuable and relevant. Media may include the NITC website and social media accounts along with external print, broadcast and online media.

2.6 Final Report & Data Reporting: Expectation & Process

Per requirements of our sponsor, every funded project is required to produce a deliverable that summarizes research findings and/or educational and technology transfer activities. For the **NITC 16**

grant, research projects are also required to make the data used to produce the deliverable publicly accessible as outlined in <u>U.S.DOT's Public Access Plan</u> (see also <u>Public Access Plan FAQs</u>).

Final report

For most projects, the deliverable will consist of a final report or comparable product. The final report should document the research in total, including a complete description of the problem, objectives, approach, methodology, findings, conclusions, recommendations, etc. It should also document all data gathered, analyses performed, and results achieved.

Please use the **final report template** when generating your report. The template is intended to provide you with guidelines in terms of content, formatting and style and also includes cover page specification and other essential information. You can download the template from the NITC website - For Researchers.

The use of photographs, graphs, charts and other visuals is highly encouraged to further enrich reports and other deliverables.

If you would like to review past reports for further guidance, we recommend the following reports:

- http://ppms.trec.pdx.edu/media/project-files/NITC-884a Breaking Barriers Operator Surve http://ppms.trec.pdx.edu/media/project-files/NITC-884a Breaking Barriers Operator Surve http://ppms.trec.pdx.edu/media/project-files/NITC-884a Breaking Barriers Operator Surve https://ppms.trec.pdx.edu/media/project-files/NITC-884a Breaking Barriers Operator Surve https://ppms.trec.pdx.edu/media/project-files/NITC-884a https://ppms.trec.pdx.edu/media/ppms.trec.pdx.edu/media/ppms.t
- http://ppms.trec.pdx.edu/media/project-files/NITC-872 What do we know about Location Affordability in Shrinking Cities.pdf

A draft final report must be submitted **30 days prior** to the official end date of the project. Please upload the draft final report to your project page on the PPMS (http://ppms.trec.pdx.edu/) and inform the research program administrator via email that you submitted your report.

Once the draft final report is received, the report goes through the following processing sequence until it is published on NITC's website:

- a. Preliminary Draft Report Review
- b. Peer Review & Copyediting
- c. Revisions by PI
- d. Preparation of Complimentary Report Materials
- e. Publication of Report & Promotion
- a. **Preliminary Draft Report Review:** We are excited that many of our PIs engage graduate students not only in the implementation of their projects but also in the final report writing process. One of NITC's key objectives is to support the training of future transportation professionals, and we encourage you to continue with this practice. However, we also recognize that students have limited report writing experience and may not always attribute information correctly or adequately to its source. This is why we decided to use the online tool *Turnitin* to screen draft final reports for originality. Reports uploaded to *Turnitin* for review will not be submitted to any online repository. If we discover issues or concerns regarding originality, we will discuss them with PIs.
- b. **Peer review:** PIs will be asked to identify potential peer reviewers for their report. NITC staff will send the draft final report to up to three peer reviewers, including one practitioner. As applicable, at least one representative of the matching/partner entity will be asked to provide a review.

If a project results in a journal-peer-reviewed article, the publication **can be substituted** for a draft report peer review. In this case, the PI is required to submit a copy of the published article to NITC. Please let NITC staff know if this is the case.

Copyediting: While in peer review, a copy editor reviews the report to ensure standard formatting requirements are met and edits the report for consistency and accuracy. We request that PIs submit their draft final report as a word document, because copy editors use the track changes feature in MS Word to edit reports.

PIs should allow for up 2 months of review time in their schedule.

c. Revisions by PI: Once NITC has received comments from peer review <u>and</u> copyediting, they are forwarded to the PI. The PI is asked to first review the copy edits and use the document with accepted copy edits to address the peer review comments. PIs are expected to submit the finalized report within 30 days from receiving the comments.

We will also confirm a publication date for your report with you at this point.

- d. **Preparation of Complementary Report Materials:** Once you are working on the final revisions of your report, our communications staff will reach out to you and set up a time to gather additional information needed to prepare a news story and a "project brief" summarizing your report. The conversation will be brief, include targeted questions and provide you with an opportunity to share special insights and stories relevant to your project. We would love to get your input or ideas for promoting your project as well. Help us tell others how exciting your project is!
 - We request that you set up an appointment with our communication team no later than 2 weeks prior to the report's publication date. This timeline allows adequate time for preparing the materials while also giving you the opportunity to provide feedback prior to their release on NITC's website.
- e. **Publication of Final Report:** The final report will be published on the project's <u>NITC</u> web page (each project has its own page on NITC's website), and the URL of the full version of the report will be provided to the TRB TRID database and the U.S.DOT's online National Transportation Library. Final reports are produced as part of a numbered report series and will include the required disclaimer (included in the report template) and NITC funding attribution (see Section 2.8).

The communications team will add the project brief to the project's page on the NITC website and the news article will be posted to NITC's newsfeed. For most projects, we use Twitter to promote the report when it is released. Further promotions will be made as they organically arise.

Additional Considerations: Communication is key during the report-processing period. Please be responsive to the NITC team responsible for chaperoning your report through this process. Their goal is to get your report ready to make the greatest impact and give your work the greatest possible exposure. This requires careful planning and coordination that can get unhinged if communication breaks down. *Thank you!*

Data Reporting

All research projects that receive awards through the **NITC 16** grant must submit digital data with their draft final report. This includes projects selected through the General RFP, Small Starts projects, and Dissertation fellowships.

Digital data include (1) analyzed data and (2) the metadata that define how these data were generated.

- Analyzed data are (but are not restricted to) digital information, including digital images and tables of the numbers *used* for making published graphs or summary tables.
- Necessary metadata are (but are not restricted to) descriptions or suitable citations of experiments, apparatuses, computational codes, and computer calculation input conditions.

Qualitative data, such as narratives recorded during focus groups, are considered digital data and must be included.

Raw data or preliminary analyses do not need to be included under this requirement.

If sensitive information is collected, investigators will be responsible for de-identifying data in a manner that protects privacy and confidentiality while maintaining the utility of the dataset prior to submitting data for archiving to NITC.

PIs may request a 6-month embargo of digital data for pending or planned publications by submitting an embargo request (see Appendix D). This request must be approved by NITC and U.S.DOT, which is why it is essential that you submit this request with your draft final report.

Data Submission Requirements

Please submit digital data with your project's final draft report. Included metadata must provide adequate detail to ensure that digital data and their context are fully described. NITC will not be able to publish the final report and close out the project until digital data are received.

With your data files, a <u>Data Summary Document</u> (in MS Word) that includes the following information must be included:

- Title (e.g., Data from: "Name of Final Report")
- Abstract summarizing the study, methodology & findings
- Name of PI and Co-PI(s) and relevant Institutions
- Description of dataset (e.g., These data support a final report published on NITC's website "Title of Project" (2016))
- A list of the files that are included with the data product. For each file, specify data format and provide a one-sentence description of the data or information contained within each file.
- Copyright and proprietary restrictions (if appropriate)
- Disclaimers (if appropriate)
- Recommended citation (e.g., Dill, Jennifer and Hagedorn, Hau, "Data from: Title of project" (2017)

Citing Data Obtained from Repositories

In cases where data are downloaded from publically available regional, state, or national data repositories (e.g., US Census Bureau, EPA, US National Climate Data, Office of the Treasurer-Tax Collector), investigators are responsible for citing the source(s) of the data appropriately. For example, the following acknowledgement is suggested:

• "Data were downloaded from the Bike-Ped Archive maintained by PSU and can be accessed through the PSU website (http://bp.its.pdx.edu/)."

Naming Conventions for Data

To streamline the data archiving process across projects, PIs are asked to adhere to the following naming convention:

- Files included with each data product will need to specify the project identification number (e.g., NITC762 for a project with PPMS number 762), the content of the file (e.g., Data), and a number designation based on the total number of files submitted with each data product (e.g., NITC762Data1.doc, NITC762Data2.xlsx).
- Identify closely related files with the same number and an additional letter (e.g., NITC762Data2A.xlsx, NITC762Data2B.txt).

Checklist: Draft Final Report Submission

(Due: 30 days prior to project end date) Ready to submit your draft final report? *Did you...* ☐ Use the final report template in its entirety (i.e., did not omit title page or references)? ☐ Follow formatting and style guidelines as outlined in template? ☐ Upload document as "<u>Draft Final Report</u>" to the project page in PPMS? □ Notify research administrator via email about uploading report (emuecke@pdx.edu)? ☐ Include in your email the name and contact information of potential reviewers (3)? ☐ Submit a Data Embargo Request (if needed)#? *Applies to research projects that are funded through NITC 16 Checklist: Digital Data Submission# *Required only for research projects that are funded through NITC 16. Due: With revised final report or at the end of the embargo period. Ready to submit your data? Did you... ☐ De-identified data (if relevant)? ☐ Verify that naming conventions of files meet NITC guidelines? Use relevant designation to link file(s) to project & designate content of file(s)? Identify closely related files? ☐ Include metadata that adequately describe data? ☐ Create a Data Summary Document (MS Word)? ☐ Upload all files as "Other" documents to the project page in PPMS? □ Notify research administrator via email about uploading report (emuecke@pdx.edu)?

2.7 Technology Transfer

We strongly encourage communicating the results of research projects at national conferences and in refereed journals. PIs should provide copies of all papers submitted to conferences or journals to NITC through the online <u>project management system</u> (PPMS), and should include appropriate attribution. NITC also tracks metrics relating to seminars, symposia, distance-learning classes and any education or technology transfer activities undertaken through project progress reports. NITC welcomes video or streaming web archives.

2.8 Attributions, Disclaimer, & Identifier

Our funder requires that funded projects acknowledge U.S.DOT sponsorship and include a disclaimer that releases the US government of any liability (see below). Each publication needs to also include the ORCID for each investigator, who contributed to the project and is included as author or co-author on the publication.

Attributions

PIs should make proper attribution to NITC grant program as a sponsor in presentations, papers, submitted articles, websites, final reports and other project dissemination. **NITC is required to report to U.S.DOT all publications that were produced through this grant and report if projects included a funding attribution**. It is therefore important that PIs acknowledge their funding source. Other acknowledgements may also be included here.

See the following sample statements:

"This project was funded by the National Institute for Transportation and Communities (NITC) under grant number (PPMS number)."

"Jane Doe would like to acknowledge partial support from the National Institute for Transportation and Communities (NITC) under grant number (PPMS number) and the National Science Foundation (NSF) under grant number BCS-123456."

Please include your PPMS project number in the attribution. This number functions as your award/grant number.

In addition, all dissemination should include the **NITC logo**. A copy of the logo is available below, and several versions can be downloaded from the NITC website.



Disclaimer

Final reports must include the following disclaimer on the inside front cover:

The contents of this report reflect the views of the authors, who are responsible for the facts and the accuracy of the information presented herein. This document is disseminated under the sponsorship of the U.S. Department of Transportation's University Transportation Centers Program, in the interest of information exchange. The U.S. Government assumes no liability for the contents or use thereof.

This disclaimer is incorporated into the final report template available on the NITC website.

ORCID

The Open Researcher and Contributor ID, or **ORCID**, is a unique identifier that unambiguously links researchers to their contributions, funding sources and affiliation(s). Researchers can use their ORCID to establish a professional record by tracking publications, patents, other research products and grant awards. Funding programs or agencies, such as NITC and U.S.DOT, can use ORCIDs as a way to track the progress and impact of projects and programs.

To learn more about ORCID go to https://ntl.bts.gov/publicaccess/ORCID.html or visited the website of the international non-profit that created this identifier, https://orcid.org/about.

We encourage all of our PIs to sign up for an ORCID and begin using this identifier as a way to link their research output to their NITC grant. This includes any publication that result from your project. For NITC 16 grantees, however, this is a requirement. In addition, <u>all</u> authors listed on a publication are required to provide their ORCID.

Appendices

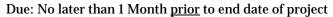
Appendix A: Important Links

Content of Webpage	URL
Proposal and Project Management System (PPMS)	http://ppms.trec.pdx.edu/users/home
PI resources (For Researchers) — all forms or documents referenced in this guide are located on this page	http://nitc.trec.pdx.edu/for-researchers
Project website – use filter function to locate your project	http://nitc.trec.pdx.edu/research/projects
Researcher Directory — Use 'Search by Name' option to locate your profile	http://nitc.trec.pdx.edu/research/directory
NITC News	http://nitc.trec.pdx.edu/news
NITC Staff (see also next page)	http://nitc.trec.pdx.edu/about/staff
NITC U.S. DOT Reports	http://nitc.trec.pdx.edu/about

Appendix B: NITC Contacts

NITC Staff	Role	Contact Info
Lacey Friedly	Project promotion, website	Phone: 503-725-8545
		Email: <u>rlacey@pdx.edu</u>
Hau Hagedorn	Associate Director	Phone: 503-725-2833
		Email: hagedorn@pdx.edu
Sophie Hawley	Office Manager	Phone: 503-725-2896
		Email: shawley@pdx.edu
Cheyanne Kalainoff	Financial Research Administrator	Phone: 503-725-2829
Kaiailioii		Email: trecdra@pdx.edu
Eva-Maria	Research Program Administrator	Phone: 503-725-2897
Muecke		Email: emuecke@pdx.edu
Lisa Patterson	Technology Transfer and Workforce	Phone: 503-725-2838
	Development Program Manager	Email: <u>l.patterson@pdx.edu</u>

Appendix C: No-Cost Project Extension





Please fill out the form completely, sign, and give to your institution's research office for processing no later than 1 month prior to the end date of a project. NITC will only accept extension requests that have been approved by the PI's university research office. PI's at Portland State University can send the no-cost extension directly to Cheyanne Kalainoff (trecdra@pdx.edu) in the NITC office. All other no-cost extensions must be send from the home university's research office staff. **No-cost extensions for projects are not to exceed 6 months from the end date of the project award** (see the award letter date). NITC is performance-driven and must report annually on performance measures related to program activities. Investigators must show reportable progress throughout the life of a project, and must justify the need for no-cost extensions.

1. PROJECT INFORMATION

Project PPMS Number:			
Project Start Date:	Project End Date:		
Project Title:	,		
Principal Investigator (PI):			
University:			
Phone:			
Email:			
2. PROGRESS Please provide detailed progress on y	your project to date.		
% Project Completed to Date:			
% Grant Award Expended:	% Match Expended and Documented:		
List Task (Add new row for each task):	Progress to Date		
Task 1:			
Task 2:			
collaboration or exciting results and activities unde	ole accomplishments, outstanding students, notable ertaken that support the national strategy for surface iorities. This information may be used in NITC and		

4. ISSUES Please note an	y issues of con	cern:		
5. PERFORMANCE M Research	ETRICS			
Did research results confirm	n or change pra	ctice? If yes, lis	t practitioners	s who use the results and provide a
brief explanation.				
List poor reviewed s	articles resultin	ug from project		
List peer-reviewed a	irticles resultin	ig irom project		
• List articles in trade	/professional r	aublications		
List al ticles in trade	/ professionar j	DUDIICALIOIIS		
6. I .				
Students List students involved in thi	s project (add	rows if needed))	
Student name:	E E	mail:	<u>'</u>	Undergrad/MS/PhD:
Technology Transfer				
 List event (seminars if needed). 	s, workshops, s	ymposia, etc.) l	neld for transp	oortation professionals (add rows
Event name:	Date:	, 0		
		attendees:	technology	transfer, continuing education):

6. REASON FOR REQUEST

Reason for Extension:	
Anticipated Project End Date (must not exceed 6 months after original end date	9):
Principal Investigator Signature:	Date:
7. APPROVALS	
Signature	Date
Dean or Department Chair (If applicable)	
University Research Office	
NITC	
Approved new project end date (NITC use only)	

Appendix D: Cost Share Documentation



Cost Share (Matching Funds) from Outside Source

We are currently updating this form. Please check back September 2017 for a revised version or contact **trecdra@pdx.edu** if you require assistance before that date. Thank you.

Appendix E: International Travel Request

Due: 75 days in advance of travel.



If you are planning to use NITC funds to present your research at an international conference, you will need to submit the following request via email to Eva-Maria Muecke (emuecke@pdx.edu) **75 days** in advance of travel. Requests will only be endorsed for travel to present results of funded research. The request will be routed to the NITC director for endorsement before submitting it to our sponsoring agency. Note that requests submitted after travel WILL NOT be approved.

	ency. Note that requests submitted after travel WILL NOT be approved.
1.	Describe specific reason for travel including dates, and the value to be gained by the NITC grant program:
2.	Name and relationship of traveler to the NITC grant:
3.	Describe how the travel will further the goals of the NITC program:
4.	Provide detailed itinerary & breakdown of planned expenses:
5.	Endorsement of Center Director

Appendix F: Data Embargo Request#

Due: Request must be submitted with Draft Final Report *Required only for research projects that are funded through **NITC 16**.



Please upload request to PPMS at the time you submit your Draft Final Report. Select "Other document" from upload option.

PROJECT INFORMATION

Project PPMS Number:		
Project Title:		
Principal Investigator:	Email address:	
University:		
REASON FOR REQUEST		
Describe specific reason(s) why you request the delay of the	release of the data.	
Requested Embargo End Date (must not exceed 6 months a	fter project end date):
Duin singl Investigaton Cignatums		Data
Principal Investigator Signature:		Date:
Principal Investigator Signature:		Date:
Principal Investigator Signature: ENDORSEMENT OF CENTER		Date:
		Date:
ENDORSEMENT OF CENTER		Date:
		Date:
ENDORSEMENT OF CENTER APPROVAL	J.S.DOT approved?	Date: YES / NO
ENDORSEMENT OF CENTER APPROVAL		
ENDORSEMENT OF CENTER APPROVAL	J.S.DOT approved?	

Appendix G: Change of PI Request



Approval of a NITC project includes consideration of the participation and qualifications of the Principal Investigator (PI). NITC requires notification whenever there is significant change in the level of participation by the PI in the awarded project. An email requesting a change in the level of PI participation should be addressed to the Research Program staff, the Executive Committee representative, and the PI's Office of Sponsored Programs. The email should detail:

- the reasons for the change in key personnel,
- a plan for conduct of the project and supervision after the change has been approved, and
- the proposed replacement personnel's vitae.

This request must be made prior to the implementation of any changes.

Short Term Absence of PI

If the change in PI effort is expected to be less than 90 days, the PI will notify the Research Program Manager described above. The Research Program Manager will approve if conditions are satisfactory. If plan is not satisfactory, project may be suspended until an approved plan is in place.

Long Term Absence of PI

If the change in PI effort is anticipated to be more than 90 days, but the PI plans to return to the project, the PI must notify the Program Manager as described above. If approved by the Research Program Manager, no amendment to the task order is required.

If the PI will be leaving the project and not returning, then a change of PI request must be made as described above. If the PI is replaced with a co-PI who is at a different institution or if scope of work will change with this action, the Executive Committee will need to approve the change. The Research Program Manager will approve the change if conditions are satisfactory and notify the PI, new PI, and the Office of Sponsored Programs. If a new PI is requested, then the task order for the project will be amended.

If the Research Program Manager does not approve the changes, the project may be suspended until an approved plan is in place.

Appendix H: Equipment Request Form



If you are planning to use NITC funds to purchase equipment, you will need to submit the following request via email to Eva-Maria Muecke (emuecke@pdx.edu). All equipment purchases that exceed \$5000 need to be approved the US Department of Transportation (U.S.DOT) Research and Innovative Technology Administration (RITA).

1.	Which NITC project(s) are involved?
2.	Please describe and include the general specifications for the piece of equipment:
3.	What is the cost (including relevant explanation of why one vendor was selected over another)?
4.	How will it be used on your specific NITC-funded project (include how it may be essential to your project and how your research would be impacted if you did not have this piece of equipment)?
5.	How will it add value to the NITC program?